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Abstract. Post-quantum cryptography (PQC) is designed to be secure against attacks from guantum computers, yet it remains vulnerable to classic side-channel attacks (SCAs), which exploit physical implementation leaks. This manuscript examines the various SCAs used to evaluate PQC schemes, focusing on non-invasive techniques such as timing, power, and electromagnetic analysis, We provide a detailed account of the execution of these attacks against diverse PQC algorithms and identify common vulnerabilities and weaknesses. Our study reveals that, while various countermeasures have been proposed to protect PQC implementations, they are not entirely effective against sophisticated attacks. Stronger and more resilient countermeasures are needed, especially The review highlights the in IoT environments. weaknesses in the current defenses, including the necessity for more robust masking techniques, adequate security countermeasures tailored to IoT constraints, and methods to generalize SCAs across diverse hardware platforms. These issues must be addressed to enhance the practical security of PQC schemes in real-world scenarios.

**Keywords.** Post-quantum cryptography (PQC), side-channel attacks (SCAs), countermeasures, non-invasive attacks.

## **1** Introduction

Analyzing a cryptographic primitive can be viewed from two distinct perspectives. On the one hand,

it can be viewed as an abstract mathematical entity or a black box (i.e., a transformation, possibly parameterized by a key that transforms an input into an output), commonly called "classical cryptanalysis".

On the other hand, this primitive must be implemented in a program that will run on a specific processor in a specific environment and, therefore, will present specific characteristics. In this case, we talk about *physical security* [48].

Physical attacks take advantage of the physical vulnerabilities generated in the implemented primitive on the devices to recover the used secret key. These kinds of physical attacks are called Side-Channel Attacks (SCA). SCA tends to be less general, i.e., the attack depends entirely on the implementation's characteristics.

Physical security concerns the development of measures to meet the needs of the cryptographic primitives in practice. The measures must reduce the gap between the characteristics of an implemented cryptographic primitive and what is assumed about it [57]. The executions of the cryptographic implementations were assumed to be secure until numerous attacks refuted this. This made the developers focus on researching how to ensure the implementation minimizes side-channel leakages, which led to an understanding of

the mathematical algorithms employed in the side-channel analysis.

Compared to cryptographic attacks, SCA can observe and analyze the internal physical quantities for the key (or other secret quantities) extraction [53]. SCA is performed in two steps. First, the physical leakage of each query performed on cryptographic implementations is turned into probability and score vectors. With this information, the key extraction can be performed. The second step is to sort the information and search over every individual key until the entire key is completed and extracted [84].

## 2 Side Channel Attacks

SCAs can be conducted by analyzing one of the many physical leak devices produced while executing cryptographic schemes. These leaks represent a vulnerability that an attacker can exploit to analyze and break the security of cryptographic algorithms [69]. SCA on embedded devices first appeared in 1996 with a Timing Attack [47]. In this, the secret key is assumed to be correlated to the time fluctuations of the executions of a secure algorithm.

Applying a statistical analysis, it may be possible to select the correct key. In 1999, another side-channel attack based on the device's power consumption came up [46]. We can classify the type of attack depending on what the adversary can do with the device. On one hand, the active attacks may change the conditions under which the device used to work.

On the other hand, the passive attacks only observe the physical leakage of the device. It is said that these are more dangerous kinds of attacks because they leave no damage to the device that can be detected later on. These attacks exploit the fact that the device itself leaks physical information while performing the related operations to the secret information of the cryptographic algorithm, which can be measured externally [69]. Similar to the previous classification, SCAs can be categorized based on the characteristics of the attack to be executed and its potential invasiveness for the device. This classification can be divided into invasive, semi-invasive, and non-invasive attacks. Each of them is dependent on how the attacker obtains information from the device or how the attack is performed. Invasive attacks focus on destroying the physical packaging of the integrated circuit while it maintains its functionality.

Usually, they involve accessing the silicon to observe, manipulate, and interfere with the system internals. Semi-invasive attacks require depackage, but unlike invasive attacks, they do not require direct electrical contact with a chip surface. In this kind, the attacker can perform photonic analysis, optical contactless probing, or laser stimulation [91, 92, 55] or even induce faults in the device.

Non-invasive attacks do not require opening the device to perform the attack. However, the attacker must know the system and observe specific physical parameters produced by the device while the algorithm runs. The main purpose of non-invasive attacks is to determine the secret key of a cryptographic device by measuring its execution time, power consumption, or electromagnetic field.

Since the beginning of this century, SCA has been studied, primarily in modern cryptography, with the RSA scheme or the standard AES. However, with the emergence of the quantum computer and Grover and Shor's algorithms, the physical security of modern cryptography is at risk, and the main problem is where its security relies.

Due to this, post-quantum cryptography has emerged as a safer alternative to these algorithms and quantum computing. In 2016, the National Institute of Standards and Technology (NIST) initiated a standardization process to standardize one or more quantum-resistant schemes. One of the main factors in this process is the resistance to SCA. Figure 1 provides a representation of the classification of non-invasive SCA in modern cryptography, with a taxonomy based on the work in [21]. It also shows the attacks reported to focus on post-quantum cryptography. These attacks are in the white boxes.

### **3 Non-invasive Attacks**

So many studies exist in every classification, invasive, semi-invasive, and non-invasive attack, as seen in Section 2. In this work, we focus on the physical no-invasive classification. As we discussed before, non-invasive attacks are mainly those where we can only observe the device's behavior while it is working with the algorithm.

Those attacks are timing analysis, power analysis, electromagnetic emission analysis, fault analysis, and, in rare cases, light analysis and acoustics analysis.

**The power analysis (PA)**, analyses the power traces measured over time. These traces are related to the computation of the algorithm since each operation of it has its power signature [36]. Depending on the way that the power traces are analyzed, it can be a Simple Power Analysis (SPA), Differential Power Analysis (DPA), Correlation Power Analysis (CPA), or Template.

**Electromagnetic emission attack (EMA)**, this technique is related to the PA, but the main difference is how the traces are acquired because of the different tools used. In this, the challenge is to recognize the data leakage.

In the **Timing Analysis (TA)**, the attacker analyzes the time the device takes to compute the algorithm's operations. The time required to perform each operation differs based on the input.

**Fault analysis (FA)**, studies the computational errors that may or may not be provoked. This can be because of the device itself. However, faults can be induced too, which can make the device work incorrectly and the attacker observes the result of the execution and compares it with the correct behavior.

In this work, we mainly review only the non-invasive attacks described in this section due to their capability to be applied to modern cryptography and post-quantum cryptography.

### 4 Side Channel Attacks to Modern Cryptography

Our work is focused on noninvasive attacks. We first examine some of these attacks made on modern cryptography. Over the past 20 years, the non-invasive SCA has been investigated [53]. All the primary schemes and standards we routinely employ, such as RSA, AES, and DES, have been subjected to non-invasive SCA.

Numerous studies have examined the SCA methodology, which breaks the schemes. Figure 2 shows some examples of attacks on modern cryptography, sorted by type of attack related to the non-invasive SCA. All of them are executed on embedded devices, including but not limited to NFC and RFID tags, smart cards, FPGAs, and Android devices.

Table 1 represents all works based on the literature and their relationship to the specific SCA they perform. The initial column in the table pertains to the research cited in the literature, followed by the type of non-invasive attack. The attacks are categorized as TA, FA, and PA, further subdivided into SPA with the S, DPA with the D, CPA with the C, Template with the T, and finally EMA.

Beginning from left to right, as we established in the last section, in the **TA**, the attacker analyzes the time the device takes to compute the algorithm's operations. Depending on the target scheme to attack, RSA or AES, the attacks exploit some of the operations in the process [4, 101, 16].

In the RSA-CRT implementation, the target is the Montgomery multiplication process, and the Chinese Remainder Theorem is used for optimization [4]. In the case of the OpenSSL AES implementation, the attack focuses on the timing data generated by cache collisions; the attack is performed on various processors [16].

In **FA**, the errors may or may not be induced by the attacker. The main target schemes are HC-128, AES, and RSA [45, 60, 18]. The faults go from inducing random words in the inner cipher state to exploiting the fault to recover the internal state, modify the public modulus, and observe the resultant faults to get the private exponent.



**Fig. 1.** Taxonomy of SCA to modern cryptography, the SCA to post-quantum cryptography is shown in the white boxes

**PA** are separated depending on the type and the way that the traces are analyzed, SPA [66, 1, 27], DPA [94, 15, 56, 96, 72], CPA [19, 14, 52], and Template [25, 67, 3, 30]. These attacks added to the schemes under study, the CLEFIA and Camelia ciphers, the Alternating Step Generator (ASG) cipher, and RC4, as well as the substitution blocks (SB). Here the attacker uses primarily chosen plaintext to exploit the produced leakage, while the encryption process is working, in this way, the attacker can deduce the key from the acquired traces.

The way that the **EMA** is performed is similar to the PA, but how the attacker can get the traces is the main difference because, in this case, a special probe is needed. A framework for side-channel attacks on stream ciphers was introduced in [50], which complemented previous work by Sim, Bhasin, and Jap.

This framework combines automated tools such as machine learning and mixed integer linear programming to achieve effective attacks on stream ciphers, including TRIVIUM. The framework supports noisy data and provides key recovery during initialization and when the cipher reaches its pseudo-random state. Most of the PA can also be done in EMA just by changing the traces, but some attacks only focus on the EM leakage [96, 10, 49, 37, 101, 30, 52]. Other attacks rely on the non-invasive attacks [85, 71, 29, 35, 64, 11, 58, 86, 38, 87]; in these, the authors focus on exploiting the cache-based attacks, providing theoretical models and some methodologies that can be used with the side-channel leakages, some models can be adapted depending on the leakage model and the noise distribution [35].

### 5 Side Channel Attacks to Post-quantum Cryptography

As in modern cryptography, SCA on post-quantum cryptography also has been a field of study. Both have received non-invasive attacks, mainly on embedded devices like ARM-based processors or FPGAs. However, in the post-quantum, the attacker must first find the function that will lead to retrieving the key from the leakage of the device.

Figure 3 shows some SC non-invasive attacks made to post-quantum cryptography and sorted by type of exploited leakage. Due to the NIST standardization process, the main schemes that have received attention are those participating. Figure 3 shows that each type has received many attacks.

Table 2 summarizes the attacks made to PQ schemes, where all are sorted by type of SCA. Beginning with the TA and ending with the EMA. In a few cases, the attack can be done using two techniques. However, the  $\checkmark$  stands for the main SCA, and a – represents the SCA that can be applied with the same procedure.

**TA**: Some attacks done to post-quantum schemes can be seen in [90, 88, 82, 5, 89, 79, 24, 83, 23]. The TA has been done to some schemes. McEliece received a theoretical analysis in [90], where the time needed to perform the decryption is exploited on the degree of error locator polynomial algorithm.

This attack received further analysis in [88], where the private key is related to the error locator polynomial in the Patterson algorithm, and the time needed to perform the operations leaks information about the polynomials.



Fig. 2. Side channel attacks performed against modern cryptography schemes arranged according to the taxonomy shown in figure 1

This attack was expanded using the syndrome inversion with the previous leakage [89]. A few years later, this analysis was improved in [5]. The same attack in [23] attacked two schemes, LAC and Ramstake, where it is possible to distinguish between ciphertexts that produce errors before decoding due to the execution time of the ECC decoding algorithm.

**FA**: Even when the Code-based post-quantum algorithms are "protected" by their error correction codes. Attackers find a way to induce some errors in the McEliece scheme that lead to the leak of information that gives an understanding of how the scheme works when the plaintext is corrupted [20].

UOV and Rainbow. two schemes of multivariable public key cryptography, received a theoretical analysis to recover partially the secret key [34]. In [73] it is demonstrated that lattice-based cryptography is vulnerable to FA. They showed that it is possible to exploit algorithmic properties in the Number-Theoretic Transform to construct chosen inputs. The presented attack was made against the Kyber and Dilithium schemes.

**SPA**: This attack is used mostly when the signal-to-noise ratio is high enough; else, DPA is a better option to make the attack [48].

There are so many works in the literature that do SPA like those seen in [36, 62, 68, 75, 81, 97, 41, 13]. Some implementations of McEliece have received this kind of SCA. An extraction of the secret key from the analysis of the Goppa polynomials is presented in [36]. Likewise in [62] XGCD algorithm used by the McEliece scheme is analyzed, resulting in the recovery of the ciphertext.

From NewHope and Frodo, it is possible to recover the secret key by choosing a ciphertext and performing the decryption. Then, by analyzing the performed operations related to the secret key, especially the modular addition, and if the addition is larger than the modulus it can lead to recovering the secret key [68]. HQC algorithm is analyzed in [75], specifically in the decoding of a vector during the decryption phase.

The main idea is to perform a chosen ciphertext attack to determine the parts of the secret key. Another attack over the same scheme is seen in [81], where a chosen-ciphertext attack is performed to exploit the decoding algorithm to retrieve a large part of the secret key through an oracle. Then, the remaining part is determined using an algorithm based on linear algebra. Even when Kyber is the winner scheme in the NIST standardization process, it has been the target

Table 1. Research on SCA to modern cryptographyfound in the literature. The works are related to thetype of SCA. TA is for time analysis. FA for failureanalysis. SPA for simple power analysis. DPA fordifferential power analysis. CPA for correlation poweranalysis. Temp for template power attacks. And EMAfor analysis of electromagnetic emissions. SC indicatesthe cryptographic schemes that have been successfullytargeted by the SCA

| Work  | T<br>A       | F            |              | P            | E            | S            |              |          |
|-------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|----------|
|       |              | А            | S            | D            | С            | Т            | M            | С        |
| [4]   | $\checkmark$ | ×            | ×            | ×            | ×            | ×            | ×            | RSA      |
| [101] | $\checkmark$ | ×            | ×            | ×            | ×            | ×            | ×            | RSA      |
| [16]  | $\checkmark$ | ×            | ×            | ×            | ×            | ×            | ×            | AES      |
| [45]  | ×            | $\checkmark$ | ×            | ×            | ×            | ×            | ×            | HC-128   |
| [60]  | ×            | $\checkmark$ | ×            | ×            | ×            | ×            | ×            | RSA      |
| [18]  | ×            | $\checkmark$ | ×            | ×            | ×            | ×            | ×            | AES      |
| [66]  | Х            | ×            | $\checkmark$ | ×            | ×            | ×            | ×            | SB       |
| [1]   | ×            | ×            | $\checkmark$ | ×            | ×            | ×            | ×            | RC4      |
| [27]  | ×            | ×            | $\checkmark$ | ×            | ×            | ×            | ×            | RSA      |
| [94]  | ×            | ×            | ×            | $\checkmark$ | ×            | ×            | ×            | DES      |
| [15]  | ×            | ×            | ×            | $\checkmark$ | ×            | ×            | ×            | AES      |
| [56]  | ×            | ×            | ×            | $\checkmark$ | ×            | ×            | ×            | AES      |
| [96]  | Х            | ×            | ×            | $\checkmark$ | ×            | ×            | ×            | AES      |
| [72]  | ×            | ×            | ×            | $\checkmark$ | ×            | ×            | ×            | AES      |
| [19]  | ×            | ×            | ×            | ×            | $\checkmark$ | ×            | ×            | AES      |
| [14]  | ×            | ×            | ×            | ×            | $\checkmark$ | ×            | ×            | ChaCha20 |
| [52]  | Х            | ×            | ×            | ×            | $\checkmark$ | ×            | ×            | AES      |
| [25]  | ×            | ×            | ×            | ×            | ×            | $\checkmark$ | ×            | AES      |
| [67]  | ×            | ×            | ×            | ×            | ×            | $\checkmark$ | ×            | AES      |
| [3]   | Х            | ×            | ×            | ×            | Х            | $\checkmark$ | ×            | AES      |
| [30]  | ×            | ×            | ×            | ×            | Х            | $\checkmark$ | ×            | AES      |
| [96]  | ×            | ×            | ×            | ×            | Х            | ×            | $\checkmark$ | AES      |
| [10]  | ×            | ×            | ×            | ×            | Х            | ×            | $\checkmark$ | RSA      |
| [49]  | ×            | ×            | ×            | ×            | ×            | ×            | $\checkmark$ | AES      |
| [37]  | ×            | ×            | ×            | ×            | ×            | ×            | $\checkmark$ | AES      |
| [101] | ×            | ×            | ×            | ×            | ×            | ×            | $\checkmark$ | RSA      |
| [30]  | ×            | ×            | ×            | ×            | ×            | ×            | $\checkmark$ | AES      |
| [52]  | ×            | ×            | ×            | ×            | ×            | ×            | $\checkmark$ | AES      |
| [50]  | ×            | ×            | ×            | ×            | ×            | ×            | $\checkmark$ | TRIVIUM  |
|       |              |              |              |              |              |              |              |          |

of some attacks and analyses. But it has also received some protection against SCA. There are some exploitable leakages like those presented in [97] where the attacker can recover the original message from masked message encoding, which leads to performing a chosen-chiphertext attack to recover the secret key using deep learning techniques.

It is possible to perform a SPA on post-quantum schemes like those mentioned before, that is why in [41] a platform to perform a PA based on Test Vector Leakage Assessment (TVLA) is developed. This platform works with both software and hardware implementations of the schemes.

In this way, the platform can show the leakage of the implementation on the obtained traces, which leads to identifying the specific source of the leakage. Further work on masked polynomial comparison for lattice-based cryptography is discussed in [13]. This study identifies critical weaknesses in two algorithms used to mask lattice-based schemes.

It demonstrates that the claimed security of these algorithms fails to hold up, even when faced with higher-order threats. The work proposes a framework to evaluate for information leakage during the re-encryption steps, thereby providing a practical approach to enhance the robustness of implementations against side-channel attacks.

**DPA**: Usually, to perform a DPA, the attacker selects an intermediate value; this is a function in which the secret key is related to some data the attacker knows. It also uses a model to predict power consumption, usually the Hamming Weight (HW) or the Hamming Distance (HD), depending on the implementation. Several studies from this kind of attack on the PQC can be seen in [70, 42, 7, 8, 76, 17, 44, 99].

In the case of McEliece in [70], the attack was on a bit permutation of the ciphertext, then the HW was applied to individual bits of the leakage. This way, the permutation matrix is recovered by applying a correlation analysis between the permuted ciphertext and each measurement.

The hash-based scheme, SPHINCS, received a theoretical partial secret key recovery [42]. The attack consists of finding a function related to the secret key and the known data, and then it is

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**Fig. 3.** Side channel attacks performed against post-quantum cryptography schemes arranged according to the taxonomy shown in figure 1

called twice in key and signature generations. This attack uses a simulated implementation. NewHope and Frodo, due to their similarities, a single attack works in both. The attack on them is in the intermediate states of matrix-polynomial multiplication related to the subkeys.

The attack observes the intermediate results and key bit a bit, and after this, it is possible to recover the full secret key with a 99% success rate [7]. Kyber was used to analyze the performance of the SC countermeasures by attacking the FO transform. But the countermeasures can also be applied to signature schemes like Dilithium and Falcon [8].

An attack on the polynomial multiplier in the signing function of Dilithium can retrieve a part of the secret key. Then, it is possible to forge signatures with the knowledge of the partial key. In the case of Dilithium, an attack on the polynomial multiplier used in the signing function could reveal part of the secret key, allowing forgery of signatures with partial key knowledge [76]. Furthermore, recent advances in side-channel analysis have led to numerous significant findings.

A comprehensive analysis of Dilithium's polynomial multiplication operations focused on DPA side-channel attacks. The study demonstrated that by profiling power traces and isolating polynomial coefficient multiplications, attackers were able to exploit these traces to recover the secret key successfully [17].

Using deep learning for side-channel attacks on lattice-based KEM such as Frodo and NewHope has demonstrated promising outcomes. This approach significantly exceeds traditional approaches, such as horizontal differential power analysis and template attacks [44].

The side-channel analysis conducted in [99] on the SIKE (Supersingular Isogeny Key Exchange) scheme has revealed weaknesses in ARM-based implementations. The analysis centered on developing efficient countermeasures to address these vulnerabilities, encompassing diverse attack techniques and mitigation strategies.

**CPA**: CPA is similar to DPA, but in this kind of attack, some other techniques are applied to perform a correlation analysis and retrieve the secret key like those seen in [39, 6, 63, 17, 28, 93, 32, 61].

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### 1886 Kevin A. Delgado-Vargas, Cuauhtemoc Mancillas-López, Gina Gallegos-García

Table 2.Research on SCA to post-quantumcryptography found in the literature (Part 1). The jobsare related to the type of SCA. TA is for time analysis.FA for failure analysis. SPA for simple power analysis.DPA for differential power analysis. CPA for correlationpower analysis. And EMA for analysis of electromagneticemissions. SC indicates the cryptographic schemes thathave been successfully targeted by the SCA

| West | T<br>A       | F            | PA           |              |              | Е | S                 |
|------|--------------|--------------|--------------|--------------|--------------|---|-------------------|
| Work |              | Α            | S            | D            | С            | М | С                 |
| [90] | $\checkmark$ | ×            | ×            | ×            | ×            | × | McEliece          |
| [88] | $\checkmark$ | ×            | ×            | ×            | Х            | × | McEliece          |
| [5]  | $\checkmark$ | ×            | ×            | ×            | Х            | × | McEliece          |
| [89] | $\checkmark$ | ×            | ×            | ×            | Х            | × | McEliece          |
| [79] | $\checkmark$ | ×            | ×            | ×            | ×            | × | McEliece          |
| [24] | $\checkmark$ | ×            | ×            | ×            | Х            | × | QC-MDPC           |
| [83] | $\checkmark$ | ×            | ×            | ×            | ×            | × | ntruencrypt       |
| [23] | $\checkmark$ | ×            | ×            | ×            | Х            | × | LAC, Ramstake     |
| [20] | Х            | $\checkmark$ | ×            | ×            | ×            | × | McEliece          |
| [34] | ×            | $\checkmark$ | ×            | ×            | ×            | × | UOV, Rainbow      |
| [73] | ×            | $\checkmark$ | ×            | ×            | ×            | × | Kyber, Dilithium  |
| [36] | ×            | ×            | $\checkmark$ | ×            | ×            | × | McEliece          |
| [62] | ×            | ×            | $\checkmark$ | ×            | ×            | × | McEliece          |
| [68] | ×            | ×            | $\checkmark$ | ×            | ×            | × | NewHope, Frodo    |
| [75] | ×            | ×            | $\checkmark$ | ×            | _            | × | HQC               |
| [81] | ×            | ×            | $\checkmark$ | ×            | ×            | × | HQC               |
| [97] | ×            | ×            | $\checkmark$ | ×            | ×            | × | Kyber             |
| [41] | ×            | ×            | $\checkmark$ | ×            | ×            | × | Kyber             |
| [13] | ×            | ×            | $\checkmark$ | ×            | ×            | × | NTRU              |
| [70] | ×            | ×            | ×            | $\checkmark$ | ×            | × | McEliece          |
| [42] | ×            | ×            | ×            | $\checkmark$ | ×            | × | SPHINCS           |
| [7]  | ×            | ×            | ×            | $\checkmark$ | ×            | × | NewHope, Frodo    |
| [8]  | ×            | ×            | ×            | $\checkmark$ | ×            | × | Falcon, Dilithium |
| [76] | ×            | ×            | ×            | $\checkmark$ | ×            | × | Dilithium         |
| [17] | ×            | ×            | ×            | $\checkmark$ | ×            | × | Dilithium         |
| [44] | ×            | ×            | ×            | $\checkmark$ | ×            | × | NewHope, Frodo    |
| [99] | ×            | ×            | ×            | $\checkmark$ | ×            | _ | SIKE              |
| [39] | ×            | ×            | ×            | ×            | $\checkmark$ | × | NTRU              |
| [6]  | ×            | ×            | ×            | ×            | $\checkmark$ | × | NewHope, Frodo    |
| [63] | ×            | ×            | ×            | ×            | $\checkmark$ | × | Kyber, Saber      |
| [17] | ×            | ×            | ×            | _            | $\checkmark$ | × | Dilithium         |
|      |              |              |              |              |              |   |                   |

| [28] | × | × | Х | Х | $\checkmark$ | Х            | Kyber        |
|------|---|---|---|---|--------------|--------------|--------------|
| [93] | × | × | × | × | $\checkmark$ | Х            | Kyber        |
| [32] | × | Х | Х | Х | $\checkmark$ | Х            | Kyber        |
| [61] | × | × | Х | Х | $\checkmark$ | Х            | Kyber        |
| [78] | × | × | Х | Х | ×            | $\checkmark$ | Kyber, NTRU  |
| [43] | × | × | × | × | ×            | $\checkmark$ | Falcon       |
| [65] | × | × | Х | _ | ×            | $\checkmark$ | Kyber, NTRU  |
| [95] | × | × | × | - | ×            | $\checkmark$ | Kyber, NTRU, |
| [31] | × | Х | Х | Х | Х            | $\checkmark$ | HQC          |
| [33] | × | × | Х | Х | Х            | $\checkmark$ | Kyber        |
| [77] | × | × | Х | Х | ×            | $\checkmark$ | Kyber        |
| [74] | × | × | Х | Х | Х            | $\checkmark$ | Kyber, NTRU  |
|      |   |   |   |   |              |              |              |

In the NTRU Prime scheme, the intermediate function selected is the multiplication of ciphertext and the secret key in the decryption phase. Then the HW is used to determine the expected power consumption and make the correlation with the measured power values [39].

Frodo and NewHope are shown to be vulnerable to CPA in [6]. With deep learning, they demonstrate that the attack can be generalized to noisy implementations with better results compared to classical techniques. NTRU, Kyber, and Saber have similarities, such as the Toom-Cook-based multiplication and the NTT.

These algorithms were selected as intermediate values in [63], using the HW to recover the secret key. The attack can be applied to other schemes that use polynomial multiplications.

Dilithium has been analyzed in its signature generation, specifically the polynomial multiplication operation used during the algorithm's sample rejection loop. This attack uses traces collected from a COTS-embedded device.

Kyber and Saber are analyzed through a multi-platform setup, with protected and unprotected implementations using the TVLA with an oscilloscope and the ChipWhisperer tool. The attack focuses on the inverse-NTT. Another example of attacks against Kyber is in [32], where the decapsulation phase is the intermediate value. However, in this case, the attack was unsuccessful. An implementation of Kyber on ARM and RISC-V MCU received an attack in [61]. The attack requires less than 100 traces to recover the full key at the Montgomery reduction procedure.

Dilithium was investigated in [17], in the context of signature generation, specifically targeting polynomial multiplication during the sample rejection loop. Power traces collected from a Commercial Off-The-Shelf (COTS) embedded device were used in the attack.

the authors demonstrate In [28], the effectiveness of template-based attacks for recovering secret keys in the Kyber scheme. [93] explores the CPA attacks on the Kyber cryptographic algorithm by analyzing the inverse-NTT operation. The study examines the effectiveness of various power analysis techniques in unraveling the security of Kyber. The research evaluates different implementations to determine their vulnerability to CPA attacks.

**EMA**: The electromagnetic analysis (EMA) method is similar to power analysis (PA), but it collects traces differently. EMA analysis identified vulnerabilities in six cryptographic schemes participating in the standardization process. These vulnerabilities primarily pertain to the error-correcting procedures employed in these schemes and the Fujisaki-Okamoto transform (FO).

Specifically, the information leaked by these components in the output of the decryption algorithm can lead to the full recovery of the secret key [78]. For example, the Falcon scheme was studied and revealed that the Fast Fourier Transform (FFT) leaks less data than the Number Theoretic Transform (NTT) when subjected to EMA.

The analysis demonstrated that it is more straightforward to eliminate incorrect guesses using FFT than with NTT [43]. Furthermore, research has revealed that Saber and Kyber exhibit information leakage through EMA, which can be exploited by employing a multi-bit error-injection technique to retrieve the secret key [65]. Power/EM side-channel attacks have been elucidated in [95], affecting several post-quantum cryptographic schemes based on the FO transform using the MV-PC oracle with deep learning techniques. The re-encryption function was chosen as an intermediate value for all examined schemes. A new key recovery side-channel attack was proposed in [31], targeting the Hamming Quasi-Cyclic (HQC) code-based cryptosystem, a candidate in the NIST post-quantum standardization process.

This attack exploits the Reed-Muller decoding step in the decapsulation process, particularly the Hadamard transform, known for its diffusion property. The attack effectively uses side-channel information to construct an oracle that distinguishes between decoding patterns. It requires fewer than 20,000 electromagnetic traces to recover the entire static secret key.

In [33], research on CRYSTALS-Kyber revealed vulnerabilities in the polynomial multiplication between the secret key and ciphertext. To mitigate the detected leakages, the study suggested two masking countermeasures, additive and multiplicative. For example, multiplicative masking was introduced as a novel countermeasure for CRYSTALS-Kyber.

An analysis, in [77], of low-cost countermeasures such as ciphertext sanity checks and decapsulation failure checks revealed their vulnerability to chosen-ciphertext attacks. The secret key was retrieved from linear inequalities derived from side-channel leakage using an improved solver, demonstrating its superiority and simplicity over previous approaches.

Practical side channel and fault attacks targeting lattice-based cryptographic schemes are discussed in [74]. These attacks highlight the vulnerability of lattice-based cryptography to both side channel and fault attacks, highlighting the need for robust countermeasures.

## 6 Open Problems

Post-quantum cryptography (PQC) schemes are intended to safeguard information against quantum computer threats. However, they still encounter significant obstacles from classical side-channel attacks (SCAs). These challenges arise from information leakage through the physical implementation of cryptographic algorithms.

Addressing these issues requires understanding the limitations current of countermeasures, exploring specific vulnerabilities in Internet of Things (IoT) environments, and dealing with SCAs across diverse devices. The literature review showed that the secret keys can be retrieved if the leakage analysis is the right one. Due to this, there are some open problems like the ones listed next:

**Countermeasures**: To counteract the vulnerability of PQC schemes to SCAs, various techniques have been proposed, including masking and hiding [51, 9, 40, 12, 22]. Masking introduces random values to obscure sensitive data during computation, while hiding aims to reduce leakage visibility by adding noise or using complex data manipulation processes.

Nonetheless, these safeguards are not entirely impenetrable. Even with the use of some defenses, some studies prove that SCAs are still capable of compromising the secret keys. It is necessary to provide a detailed characterization of leakage patterns specific to PQC schemes.

Current models are often inadequate for predicting how different PQC algorithms leak information through various channels. Comprehensive models must be developed to better understand and analyze these leakage patterns. Their inherent resilience constrains the efficacy of masking and concealment techniques.

Research is required to develop more resilient masking techniques and identify scenarios where current techniques fail, enabling more robust defenses. Practical implementation issues frequently arise with theoretical countermeasures. Research into the impact of these measures on performance, usability, and integration is imperative for practical applications.

**SCA on IoT**: The rapid expansion of IoT devices presents various security challenges, especially when protecting these devices from SCAs. Because IoT devices are interconnected and have diverse applications, they are susceptible to various side-channel vulnerabilities [2, 54, 59]. Research conducted by [100, 2] highlights the significance of implementing effective security measures in this setting. Securing PQC implementations in IoT environments, which often

have resource constraints and high connectivity, is challenging. The development of lightweight and efficacious countermeasures that are tailored to these constraints is an ongoing challenge. As new hardware and architectures for the Internet of Things emerge, SCAs must adapt to these changes.

Research is needed to develop effective attack techniques across evolving hardware platforms, including custom accelerators. A dearth of uniform frameworks exists for evaluating the security of PQC implementations in IoT settings. The development of consistent and comprehensive assessment frameworks would facilitate improved evaluation and comparison of countermeasures.

**SCA on different devices**: SCAs have been extensively studied concerning specific devices and operating systems. However, applying a single type of SCA across multiple devices with varying characteristics remains challenging. Research by [26, 98, 80] has extensively explored Side-Channel Attacks (SCAs) across various devices and operating systems.

Despite these studies, applying a single SCA type uniformly across devices with differing characteristics remains a significant challenge. [26] and [98] suggest that certain SCAs may be generalized. However, significant research is required to address several issues:

- Cross-Scheme Vulnerabilities:

It is limited to understanding how vulnerabilities may be shared across different PQC schemes. Identifying common weaknesses and developing countermeasures that apply across various schemes to enhance overall security is essential.

- Cross-Device Attack Adaptation: Adapting SCAs to target a diverse range of devices without modifying the attack to cater to each device's distinct characteristics presents a challenge. Research is required to devise effective comprehensive attack strategies and countermeasures across various devices.
- New Device Categories: Emerging devices, such as wearable devices, present additional security challenges.

It is necessary to conduct extensive research to understand how SCAs can be applied to these new categories and to develop appropriate defenses.

### 7 Conclusion and Future Work

This paper provides a detailed account of the execution of side-channel attacks against diverse PQC algorithms. Our manuscript reveals that significant advancements have been made in developing PQC schemes, which are essential for protecting against the threat of quantum computers. However, these advances also present new challenges, requiring innovative physical security approaches.

Non-invasive attacks, which do not necessitate physical access to the device, are becoming increasingly sophisticated and efficacious in detecting potential leakage patterns. These advancements are crucial for safeguarding cryptographic systems in real-world applications where physical security may be challenging to maintain.

The intersection of PQC and SCAs presents a complex landscape that requires continued research and development. To ensure the security of post-quantum cryptographic systems, it is imperative to develop robust algorithms and implement effective defenses against side-channel attacks.

It is imperative to maintain a balanced focus on both the advancement of cryptographic techniques and the fortification of defenses against SCAs as we transition into a post-quantum era. This dual-focus approach will be essential for developing secure, reliable, and resilient cryptographic systems that deal with quantum and side-channel threats.

Future, it is proposed that side-channel attacks in post-quantum schemes and their applications in various cryptographic protocols utilized in multiple scenarios, such as medicine, be investigated.

Similarly, research on a security model that focuses on protecting implementations of post-quantum schemes considers the different vulnerabilities found in this work. These models should consider side-channel resistance's theoretical and practical aspects, considering various attack vectors and implementation scenarios.

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- 1890 Kevin A. Delgado-Vargas, Cuauhtemoc Mancillas-López, Gina Gallegos-García
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